



Friday, 7 July 2023

Dear Sir/Madam

A meeting of the Governance, Audit and Standards Committee will be held on Monday, 17 July 2023 in the Council Chamber, Council Offices, Foster Avenue, Beeston NG9 1AB, commencing at 7.00 pm.

Should you require advice on declaring an interest in any item on the agenda, please contact the Monitoring Officer at your earliest convenience.

Yours faithfully

Chief Executive

To Councillors:	S J Carr (Chair)	K Harlow
	S Paterson (Vice-Chair)	G S Hills
	P J Bales	A Kingdon
	M Brown	J M Owen
	R Bullock	D K Watts
	G Bunn	S Webb
	R S Falvey	

A G E N D A

1. APOLOGIES

To receive apologies and to be notified of the attendance of substitutes.

2. DECLARATIONS OF INTEREST

Members are requested to declare the existence and nature of any disclosable pecuniary interest and/or other interest in any item on the agenda.

3. MINUTES

(Pages 5 - 8)

The Committee is asked to confirm as a correct record the minutes of the meeting held on 19 June 2023.

4. MINUTES OF THE ADVISORY SHAREHOLDER SUB COMMITTEE (Pages 9 - 10)

To note the minutes of the Advisory Shareholder Sub Committee held on 26 June 2023.
5. BROXTOWE AUDIT STRATEGY MEMORANDUM (Pages 11 - 42)

This report provides the Committee with an overview on progress in delivering Mazar's responsibilities as the Council's external auditors.
6. INTERNAL AUDIT REVIEW 2022/23 (Pages 43 - 52)

To inform the Committee of the work of Internal Audit during 2022/23 and to provide an annual Internal Audit Assurance Opinion that can be used by the Council to inform its Annual Governance Statement.
7. INTERNAL AUDIT PROGRESS REPORT (Pages 53 - 62)

To inform the Committee of the recent work completed by Internal Audit.
8. REVIEW OF STRATEGIC RISK REGISTER (Pages 63 - 78)

To approve the amendments to the Strategic Risk Register and the action plans identified to mitigate risks.
9. REVIEW OF POLLING DISTRICTS AND POLLING PLACES (Pages 79 - 82)

To inform the Committee of the arrangements for the review of polling districts and polling places to be carried out later in the year.
10. COMPLAINTS REPORT 2022/23 (Pages 83 - 136)

To provide Members with a summary of complaints made against the Council.

11. REPORT OF THE MONITORING OFFICER (Pages 137 - 144)

The Local Government Ombudsman (LGO) has made a finding of injustice in respect of the Council failing to intervene with a complaint regarding the eviction from an allotment association and the failure to correctly process the complaint at stage 1 of the Council's procedure.

12. REPORT OF THE MONITORING OFFICER (Pages 145 - 152)

The Local Government Ombudsman (LGO) has made a finding of injustice in respect of the Council failing to respond to an e-mail in timely manner.

13. REPORT OF THE MONITORING OFFICER (Pages 153 - 164)

The Housing Ombudsman (HO) has made a finding of maladministration in respect of the Council failing to deal with a persistent leak at the complainant's property.

14. WORK PROGRAMME (Pages 165 - 166)

To consider items for inclusion in the Work Programme for future meetings.